



# Search for dark matter particles in proton-proton collisions at $\sqrt{s} = 8$ TeV using the razor variables

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## Abstract

A search for dark matter particles directly produced in proton-proton collisions recorded by the CMS experiment at the LHC is presented. The data correspond to an integrated luminosity of  $18.8 \text{ fb}^{-1}$ , at a center-of-mass energy of 8 TeV. The event selection requires at least two jets and no isolated leptons. The razor variables are used to quantify the transverse momentum balance in the jet momenta. The study is performed separately for events with and without jets originating from b quarks. The observed yields are consistent with the expected backgrounds and, depending on the nature of the production mechanism, dark matter production at the LHC is excluded at 90% confidence level for a mediator mass scale  $\Lambda$  below 1 TeV. The use of razor variables yields results that complement those previously published.

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## 1 Introduction

The existence of dark matter (DM) in the universe, originally proposed [1] to reconcile observations of the Coma galaxy cluster with the prediction from the virial theorem, is commonly accepted as the explanation of many experimental phenomena in astrophysics and cosmology, such as galaxy rotation curves [2, 3], large structure formation [4–6], and the observed spectrum [7–10] of the cosmic microwave background [11]. A global fit to cosmological data in the  $\Lambda$ CDM model (also known as the standard model of cosmology) [12] suggests that approximately 85% of the mass of the universe is attributable to DM [10]. To accommodate these observations and the dynamics of colliding galaxy clusters [13], it has been hypothesized that DM is made mostly of weakly interacting massive particles (WIMPs), sufficiently massive to be in nonrelativistic motion following their decoupling from the hot particle plasma in the early stages of the expansion of the universe.

While the standard model (SM) of particle physics does not include a viable DM candidate, several models of physics beyond the SM, e.g., supersymmetry (SUSY) [14–18] with  $R$ -parity conservation, can accommodate the existence of WIMPs. In these models, pairs of DM particles can be produced in proton-proton (pp) collisions at the CERN LHC. Dark matter particles would not leave a detectable signal in a particle detector. When produced in association with high-energy quarks or gluons, they could provide event topologies with jets and a transverse momentum ( $p_T$ ) imbalance ( $\vec{p}_T^{\text{miss}}$ ). The magnitude of  $\vec{p}_T^{\text{miss}}$  is referred to as missing transverse energy ( $E_T^{\text{miss}}$ ). The ATLAS and CMS collaborations have reported searches for events with one high- $p_T$  jet and large  $E_T^{\text{miss}}$  [19, 20], which are sensitive to such topologies. In this paper, we refer to these studies as monojet searches. Complementary studies of events with high- $p_T$  photons [21, 22];  $W$ ,  $Z$ , or Higgs bosons [23–26];  $b$  jets [27] and top quarks [27–29]; and leptons [30, 31] have also been performed.

This paper describes a search for dark matter particles  $\chi$  in events with at least two jets of comparable transverse momenta and sizable  $E_T^{\text{miss}}$ . The search is based on the razor variables  $M_R$  and  $R^2$  [32, 33]. Given a dijet event, these variables are computed from the two jet momenta  $\vec{p}^{j_1}$  and  $\vec{p}^{j_2}$ , according to the following definition:

$$\begin{aligned} M_R &= \sqrt{(|\vec{p}^{j_1}| + |\vec{p}^{j_2}|)^2 - (p_z^{j_1} + p_z^{j_2})^2}, \\ R &= \frac{M_T^R}{M_R}, \end{aligned} \quad (1)$$

with

$$M_T^R = \sqrt{\frac{E_T^{\text{miss}}(p_T^{j_1} + p_T^{j_2}) - \vec{p}_T^{\text{miss}} \cdot (\vec{p}_T^{j_1} + \vec{p}_T^{j_2})}{2}}. \quad (2)$$

In the context of SUSY,  $M_R$  provides an estimate of the underlying mass scale of the event, and quantity  $M_T^R$  is a transverse observable that includes information about the topology of the event. The variable  $R^2$  is designed to reduce QCD multijet background; it is correlated with the angle between the two jets, where co-linear jets have large  $R^2$  while back-to-back jets have small  $R^2$ . These variables have been used to study the production of non-interacting particles in cascade decays of heavier partners, such as squarks and gluinos in SUSY models with  $R$ -parity conservation [34, 35]. The sensitivity of these variables to direct DM production was suggested in Ref. [36], where it was pointed out that the dijet event topology provides good discrimination against background processes, with a looser event selection than that applied

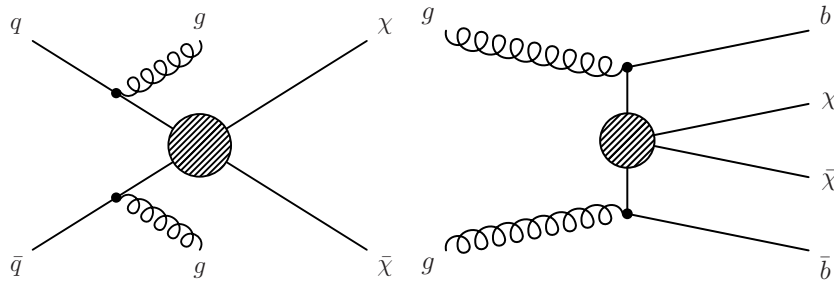


Figure 1: Feynman diagrams for the pair production of DM particles corresponding to an effective field theory using a vector or axial-vector operator (left), and a scalar operator (right).

in the monojet searches. Sensitivity to DM production is most enhanced for large values of  $R^2$ , while categorizing events based on the value of  $M_R$  improves signal to background discrimination and yields significantly improved search sensitivity to a broader and more inclusive class of DM models. The resulting sensitivity is expected to be comparable to that of monojet searches [36, 37]. This strategy also offers the possibility to search for DM particles that couple preferentially to b quarks [38], as proposed to accommodate the observed excess of photons with energies between 1 and 4 GeV in the gamma ray spectrum of the galactic center data collected by the Fermi-LAT gamma-ray space telescope [39]. The results are interpreted using an effective field theory approach and the Feynman diagrams for DM pair production are shown in Fig. 1.

Unlike the SUSY razor searches [33, 35], which focus on events with large values of  $M_R$ , this study also considers events with small values of  $M_R$ , using  $R^2$  to discriminate between signal and background, in a kinematic region ( $R^2 > 0.5$ ) excluded by the baseline selection of Refs. [33, 35].

A data sample corresponding to an integrated luminosity of  $18.8 \text{ fb}^{-1}$  of pp collisions at a center-of-mass energy of 8 TeV was collected by the CMS experiment with a trigger based on a loose selection on  $M_R$  and  $R^2$ . This and other special triggers were operated in 2012 to record events at a rate higher than the CMS computing system could process during data taking. The events from these triggers were stored on tape and their reconstruction was delayed until 2013, to profit from the larger availability of processing resources during the LHC shutdown. These data, referred to as “parked data” [40], enabled the exploration of events with small  $M_R$  values, thereby enhancing the sensitivity to direct DM production.

This paper is organized as follows: the CMS detector is briefly described in Section 2. Section 3 describes the data and simulated samples of events used in the analysis. Sections 4 and 5 discuss the event selections and categorization, respectively. The estimation of the background is described in Section 6. The systematic uncertainties are discussed in Section 7, while Section 8 presents the results and the implications for several models of DM production. A summary is given in Section 9.

## 2 The CMS detector

The central feature of the CMS apparatus is a superconducting solenoid of 6 m internal diameter, providing a magnetic field of 3.8 T. Within the solenoid volume are a silicon pixel and strip tracker, a lead tungstate crystal electromagnetic calorimeter (ECAL), and a brass and scintillator hadron calorimeter (HCAL), each composed of a barrel and two endcap sections. When combining information from the entire detector, the jet energy resolution amounts typically to 15% at 10 GeV, 8% at 100 GeV, and 4% at 1 TeV [41]. Muons are measured in gas-ionization

detectors embedded in the steel flux-return yoke outside the solenoid. Forward calorimeters extend the pseudorapidity ( $\eta$ ) [42] coverage provided by the barrel and endcap detectors. The first level (L1) of the CMS trigger system, composed of custom hardware processors, uses information from the calorimeters and muon detectors to select the most interesting events in a fixed time interval of less than  $4 \mu\text{s}$ . The high-level trigger (HLT) processor farm further decreases the event rate from around 100 kHz to around 400 Hz, before data storage. A more detailed description of the CMS detector, together with a definition of the coordinate system used and the basic kinematic variables, can be found in Ref. [42].

### 3 Data set and simulated samples

The analysis is performed on events with two jets reconstructed at L1 in the central part of the detector ( $|\eta| < 3.0$ ). The L1 jet triggers are based on the sums of transverse energy in regions  $\Delta\eta \times \Delta\phi$  approximately  $1.05 \times 1.05$  in size [42] (where  $\phi$  is the azimuthal angle in the plane transverse to the LHC beams.). At the HLT, energy deposits in ECAL and HCAL are clustered into jets and the razor variables  $R^2$  and  $M_R$  are computed. In the HLT, jets are defined using the FASTJET [43] implementation of the anti- $k_T$  [44] algorithm, with a distance parameter equal to 0.5. Events with at least two jets with  $p_T > 64 \text{ GeV}$  are considered. Events are selected with  $R^2 > 0.09$  and  $R^2 \times M_R > 45 \text{ GeV}$ . This selection rejects the majority of the background, which tends to have low  $R^2$  and low  $M_R$  values, while keeping the events in the signal-sensitive regions of the  $(M_R, R^2)$  plane. The trigger efficiency, measured using a pre-scaled trigger with very loose thresholds, is shown in Table 1. The requirements described above correspond to the least stringent event selection, given the constraints on the maximum acceptable rate.

Table 1: Measured trigger efficiency for different  $M_R$  regions. The selection  $R^2 > 0.35$  is applied. The uncertainty shown represents the statistical uncertainty in the measured efficiency.

$M_R$ region (GeV)	200–300	300–400	400–3500
Trigger efficiency (%)	$91.1 \pm_{1.7}^{1.5}$	$90.7 \pm_{2.9}^{2.3}$	$94.4 \pm_{3.6}^{2.4}$

Monte Carlo (MC) simulated signal and background samples are generated with the leading order matrix element generator MADGRAPH v5.1.3 [45, 46] and the CTEQ6L parton distribution function set [47]. The generation includes the PYTHIA 6.4.26 [48] Z2\* tune, which is derived from Z1 tune [49] based on the CTEQ5L set. Parton shower and hadronization effects are included by matching the generated events to PYTHIA, using the MLM matching algorithm [50]. The events are processed with a GEANT4 [51] description of the CMS apparatus to include detector effects. The simulation samples for SM background processes are scaled to the integrated luminosity of the data sample ( $18.8 \text{ fb}^{-1}$ ), using calculations of the inclusive production cross sections at the next-to-next-to-leading order (NNLO) in the perturbative QCD expansion [52–54]. The signal processes corresponding to pair production of DM particles are simulated with up to two additional partons with  $p_T > 80 \text{ GeV}$ .

### 4 Event selection

Events are selected with at least one reconstructed interaction vertex within  $|z| < 24 \text{ cm}$ . If more than one vertex is found, the one with the highest sum of the associated track momenta squared is used as the interaction point for event reconstruction. Events containing calorimeter noise, or large missing transverse momentum due to beam halo and instrumental effects (such as jets near non-functioning channels in the ECAL) are removed from the analysis [55].

A particle-flow (PF) algorithm [56, 57] is used to reconstruct and identify individual particles with an optimized combination of information from the various elements of the CMS detector. The energy of photons is directly obtained from the ECAL measurement, corrected for zero-suppression effects. The energy of electrons is determined from a combination of the electron momentum at the primary interaction vertex as measured by the tracker, the energy of the corresponding ECAL cluster, and the energy sum of all bremsstrahlung photons (or emissions) spatially compatible with originating from the electron track. The energy of muons is obtained from the curvature of the associated track. The energy of charged hadrons is determined from a combination of their momentum measured in the tracker and the matching ECAL and HCAL energy deposits, corrected for zero-suppression effects and for the response function of the calorimeters to hadronic showers. Finally, the energy of neutral hadrons is obtained from the corresponding corrected ECAL and HCAL energies. Contamination of the energy determinations from other pp collisions is mitigated by discarding the charged PF candidates incompatible with originating from the main vertex. Additional energy from neutral particles is subtracted on average when computing lepton (electron or muon) isolation and jet energy. This contribution is estimated as the per-event energy deposit per unit area, in the cone  $\Delta R = \sqrt{(\Delta\eta)^2 + (\Delta\phi)^2} = 0.3$ , times the considered jet size or isolation cone area.

To separate signal from the main backgrounds it is necessary to identify electrons (muons) with  $p_T > 15$  GeV and  $|\eta| < 2.5$  (2.4). In order to reduce the rate for misidentifying hadrons as leptons, additional requirements based on the quality of track reconstruction and isolation are applied. Lepton isolation is defined as the scalar  $p_T$  sum of all PF candidates other than the lepton itself, within a cone of size  $\Delta R = 0.3$ , and normalized to the lepton  $p_T$ . A candidate is identified as a lepton if the isolation variable is found to be smaller than 15%. For electrons [58], a characteristic of the shower shape of the energy deposit in the ECAL (the shower width in the  $\eta$  direction) is used to further reduce the contamination from hadrons. PF candidates with  $p_T > 10$  GeV that are not consistent with muons and satisfy the same isolation requirements as those used for electrons are also identified to increase the lepton selection efficiency as well as to identify single-prong tau decays.

Jets are formed by clustering the PF candidates, using the anti- $k_T$  algorithm with distance parameter 0.5. Jet momentum is determined as the vectorial sum of all particle momenta in the jet, and is found from simulation to be within 5% to 10% of the generated hadron level jet momentum over the whole  $p_T$  spectrum and detector acceptance. Jet energy corrections are derived from simulation, and are confirmed with in situ measurements of the energy balance in dijet and photon+jet events. Any jet whose momentum points within a cone of  $\Delta R < 0.3$  around any identified electron, muon, or isolated track is discarded. Additional selection criteria are applied to each event to remove spurious jet-like features originating from isolated noise patterns in certain HCAL regions. We select events containing at least two jets with  $p_T > 80$  GeV and  $|\eta| < 2.4$ , for which the corresponding L1 and HLT requirements are maximally efficient. The combined secondary vertex (CSV) b-tagging algorithm [59, 60] is used to identify jets originating from b quarks. The loose and tight working points of the CSV algorithm, with 85% (10%) and 50% (0.1%) identification efficiency (misidentification probability) respectively, are used to assign the selected events to categories based on the number of b-tagged jets, as described below.

In order to compute the razor variables inclusively, the event is forced into a two-jet topology, by forming two *megajets* [34] out of all the reconstructed jets with  $p_T > 40$  GeV and  $|\eta| < 2.4$ . All possible assignments of jets to the megajets are considered, with the requirement that a megajet consist of at least one jet. The sum of the four-momenta of the jets assigned to a megajet defines the megajet four-momentum. When more than two jets are reconstructed, more

than one megajet assignment is possible. We select the assignment that minimizes the sum of the invariant masses of the two megajets. In order to reduce the contamination from multijet production, events are rejected if the angle between the two selected megajets in the transverse plane  $|\Delta\phi(j_1, j_2)|$  is larger than 2.5 radians. The momenta of the two megajets are used to compute the razor variables, according to Eq. (1, 2). Events are required to have  $M_R > 200$  GeV and  $R^2 > 0.5$ .

## 5 Analysis Strategy

To enhance the DM signal and suppress background contributions from the  $W$ +jets and  $t\bar{t}$  processes, we veto events with selected electrons, muons, or isolated charged PF candidates. We define three different search regions based on the number of b-tagged jets. The zero b-tag search region contains events where no jets were identified with the CSV loose b-tagging criterion; the one b-tag search region contains events where exactly one jet passed the CSV tight criterion; and the two b-tag search region contains events where two or more jets passed the CSV tight criterion. Events in the zero b-tag search region are further classified into four categories based on the value of  $M_R$ , to enhance signal to background discrimination for a broad class of DM models: (i) *very low*  $M_R$  (VL), defined by  $200 < M_R \leq 300$  GeV; (ii) *low*  $M_R$  (L), with  $300 < M_R \leq 400$  GeV; (iii) *high*  $M_R$  (H), with  $400 < M_R \leq 600$  GeV; and (iv) *very high*  $M_R$  (VH), including events with  $M_R > 600$  GeV. Because of the limited size of the data sample, no further categorization based on  $M_R$  is made for the one and two b-tag search regions. Within each category, the search is performed in bins of the  $R^2$  variable, with the binning chosen such that the expected background yield in each bin is larger than one event, as estimated from Monte Carlo simulation.

In the H and VH categories, 3% and 35% respectively of the selected events were also selected in the monojet search [61], which used data from the same running period. The overlap in the L and VL categories is negligible, while the overlapping events in the H and VH categories were shown not to have an impact on the final sensitivity. Consequently, the results from this analysis and from the monojet analysis are largely statistically independent.

The main backgrounds in the zero b-tag search region are from the  $W(\ell\nu)$ +jets and  $Z(\nu\bar{\nu})$ +jets processes, while the dominant background in the one and two b-tag search regions is the  $t\bar{t}$  process. To estimate the contribution of these backgrounds in the search regions, we use a data-driven method that extrapolates from appropriately selected control regions to the search region, assisted by Monte Carlo simulation. A detailed description of the background estimation method is discussed in Section 6.

To estimate the  $W(\ell\nu)$ +jets and  $Z(\nu\bar{\nu})$ +jets background in the zero b-tag search region, we define the  $1\mu$  control region by selecting events using identical requirements to those used in the search region, with the exception of additionally requiring one selected muon. Events in this control region are extrapolated to the search region in order to estimate the background. In addition, we define the  $2\mu$  control region, enhanced in the  $Z$ +jets process, by requiring two selected muons with invariant mass between 80 GeV and 100 GeV. The  $2\mu$  control region is used to perform a cross-check prediction for the  $1\mu$  control region, and the systematic uncertainties in background prediction are estimated based on this comparison.

To estimate the  $t\bar{t}$  background in the one and two b-tag search regions, we define the  $1\mu b$  and  $2\mu b$  control regions, by requiring at least one jet satisfying the CSV tight b-tagging criterion along with one and two selected muons respectively. Both of these control regions are dominated by the  $t\bar{t}$  process. The  $t\bar{t}$  background prediction is estimated by extrapolating from the

Table 2: Analysis regions for events with zero identified b-tagged jets. The definition of these regions is based on the muon multiplicity, the output of the CSV b-tagging algorithm, and the value of  $M_R$ . For all the regions,  $R^2 > 0.5$  is required.

analysis region	purpose	b-tagging selection	$M_R$ category
$0\mu$	signal search region		$200 < M_R \leq 300$ GeV (VL)
$1\mu$	$W(\ell\nu)$ control region	no CSV loose jet	$300 < M_R \leq 400$ GeV (L) $400 < M_R \leq 600$ GeV (H)
$2\mu$	$Z(\ell\ell)$ control region		$M_R > 600$ GeV (VH)

Table 3: Analysis regions for events with identified b-tagged jets. The definition of these regions is based on the muon multiplicity, the output of the CSV b-tagging algorithm, and the value of  $M_R$ . For all the regions,  $R^2 > 0.5$  is required.

analysis region	purpose	b-tagging selection	$M_R$ category
$0\mu b\bar{b}$	signal search region	$\geq 2$ CSV tight jets	$M_R > 200$ GeV
$0\mu b$		$= 1$ CSV tight jet	
$1\mu b$	$t\bar{t}$ control region	$\geq 1$ CSV tight jets	
$2\mu b$	$t\bar{t}$ control region		
$Z(\mu\mu)b$	$Z(\ell\ell)$ control region	$\geq 1$ CSV loose jets	

$2\mu b$  control region, while the  $1\mu b$  control region is used as a cross-check to estimate systematic uncertainties. Finally, we define the  $Z(\mu\mu)b$  control region by requiring two muons with invariant mass between 80 GeV and 100 GeV. This is used to estimate the  $Z(\nu\bar{\nu})$ +jets background in the one and two b-tag search regions.

The definitions of the search and control regions, and their use in this analysis are summarized in Tables 2 and 3.

## 6 Background estimation

The largest background contribution to the zero b-tag search region is from events in which a W or Z boson is produced, in association with jets, decaying to final states with one or more neutrinos. These background processes are referred to as  $W(\ell\nu)$ +jets and  $Z(\nu\bar{\nu})$ +jets events. Additional backgrounds arise from events involving the production of top quark pairs, and from events in which a Z boson decays to a pair of charged leptons. These processes are referred to as  $t\bar{t}$  and  $Z(\ell\ell)$ +jets, respectively. Using simulated samples, the contribution from other SM processes, such as diboson and single top production, is found to be negligible.

The main background in the one and two b-tag search regions comes from  $t\bar{t}$  events. The use of the tight working point of the CSV algorithm reduces the  $Z(\nu\bar{\nu})$ +jets and  $W(\ell\nu)$ +jets contribution as shown in Table 7. Multijet production, which is the most abundant source of events with jets and unbalanced  $p_T$ , contributes to the search region primarily due to instrumental mismeasurement of the energy of jets. As a result the  $E_T^{\text{miss}}$  direction tends to be highly aligned in the azimuthal coordinate with the razor megajets. The requirement on the razor variables and  $|\Delta\phi(j_1, j_2)|$  reduces the multijet background to a negligible level, which is confirmed by checking data control regions with looser cuts on the razor variables.



## 6.1 Background estimation for the zero b-tag search region

To predict the background from  $W(\ell\nu)+\text{jets}$  and  $Z(\nu\bar{\nu})+\text{jets}$  in the zero b-tag search region, we use a data-driven method that extrapolates the observed data yields in the  $1\mu$  control region to the search region. Similarly, the observed yield in the  $2\mu$  control region allows the estimation of the contribution from  $Z(\ell\ell)+\text{jets}$  background process. Each  $M_R$  category is binned in  $R^2$ . Events in which the  $W$  or  $Z$  boson decayed to muons are used to extrapolate to cases where they decay to electrons or taus.

The background expected from  $W$  and  $Z$  boson production, in each  $R^2$  bin and in each  $M_R$  category of the  $0\mu$  sample, is computed as

$$n_i^{0\mu} = \left( n_i^{1\mu} - N_i^{\text{t}\bar{\text{t}},1\mu} - N_i^{Z(\ell\ell)+\text{jets},1\mu} \right) \frac{N_i^{W(\ell\nu)+\text{jets},0\mu} + N_i^{Z(\nu\bar{\nu})+\text{jets},0\mu}}{N_i^{W(\ell\nu)+\text{jets},1\mu}} + \left( n_i^{2\mu} - N_i^{\text{t}\bar{\text{t}},2\mu} \right) \frac{N_i^{Z(\ell\ell)+\text{jets},0\mu}}{N_i^{Z(\ell\ell)+\text{jets},2\mu}}, \quad (3)$$

where  $n_i^{k\mu}$  labels the data yield in bin  $i$  for the sample with  $k$  muons, and  $N_i^{X,k\mu}$  indicates the corresponding yield for process  $X$ , derived from simulations. This background estimation method relies on the assumption that the kinematic properties of events in which  $W$  and  $Z$  bosons are produced are similar.

To estimate the accuracy of the background estimation method, we perform a cross-check by predicting the background in the  $1\mu$  control region using the observed data yield in the  $2\mu$  control region. The Monte Carlo simulation is used to perform this extrapolation analogous to the calculation in Equation 3. The small contribution from the  $\text{t}\bar{\text{t}}$  background process is also estimated using the simulated samples. In Tables 4 and 5, the observed yields in the  $1\mu$  and  $2\mu$  control regions respectively are compared to the estimate derived from data. In Tables 4-9, the contribution of each process as predicted directly by simulated samples are also given.

Table 4: Comparison of the observed yield in the  $1\mu$  control region in each  $M_R$  category and the corresponding data-driven background estimate obtained by extrapolating from the  $2\mu$  control region. The uncertainty in the estimates takes into account both the statistical and systematic components. The contribution of each individual background process is also shown, as estimated from simulated samples, as well as the total MC predicted yield.

$M_R$ category	$Z(\nu\bar{\nu})+\text{jets}$	$W(\ell\nu)+\text{jets}$	$Z(\ell\ell)+\text{jets}$	$\text{t}\bar{\text{t}}$	MC predicted	Estimated	Observed
VL	$0.7 \pm 0.3$	$4558 \pm 32$	$133 \pm 3$	$799 \pm 9$	$5491 \pm 33$	$5288 \pm 511$	5926
L	$0.5 \pm 0.3$	$1805 \pm 17$	$44 \pm 2$	$213 \pm 4$	$2063 \pm 18$	$1840 \pm 233$	2110
H	$0.1 \pm 0.1$	$915 \pm 11$	$16 \pm 1$	$66 \pm 2$	$997 \pm 11$	$629 \pm 240$	923
VH	$<0.1$	$183 \pm 5$	$2.6 \pm 0.2$	$8.5 \pm 0.8$	$194 \pm 5$	$166 \pm 93$	143

Table 5: Comparison of the observed yield for the  $2\mu$  control region in each  $M_R$  category and the corresponding prediction from background simulation. The quoted uncertainty in the prediction reflects only the size of the simulated sample. The contribution of each individual background process is also shown, as estimated from simulated samples.

$M_R$ category	$Z(\nu\bar{\nu})+\text{jets}$	$W(\ell\nu)+\text{jets}$	$Z(\ell\ell)+\text{jets}$	$\text{t}\bar{\text{t}}$	MC predicted	Observed
VL	$<0.1$	$<0.1$	$214 \pm 4$	$1.9 \pm 0.3$	$215 \pm 4$	207
L	$<0.1$	$0.4 \pm 0.3$	$88 \pm 2$	$0.5 \pm 0.2$	$89 \pm 2$	78
H	$<0.1$	$0.1 \pm 0.1$	$48 \pm 1$	$0.1 \pm 0.1$	$48 \pm 1$	30
VH	$<0.1$	$<0.1$	$10 \pm 1$	$0.1 \pm 0.1$	$10 \pm 1$	7

Figure 2 shows the comparison of the  $R^2$  distributions between the observed yield and the data-driven background estimate in the  $1\mu$  control region. The observed bin-by-bin difference

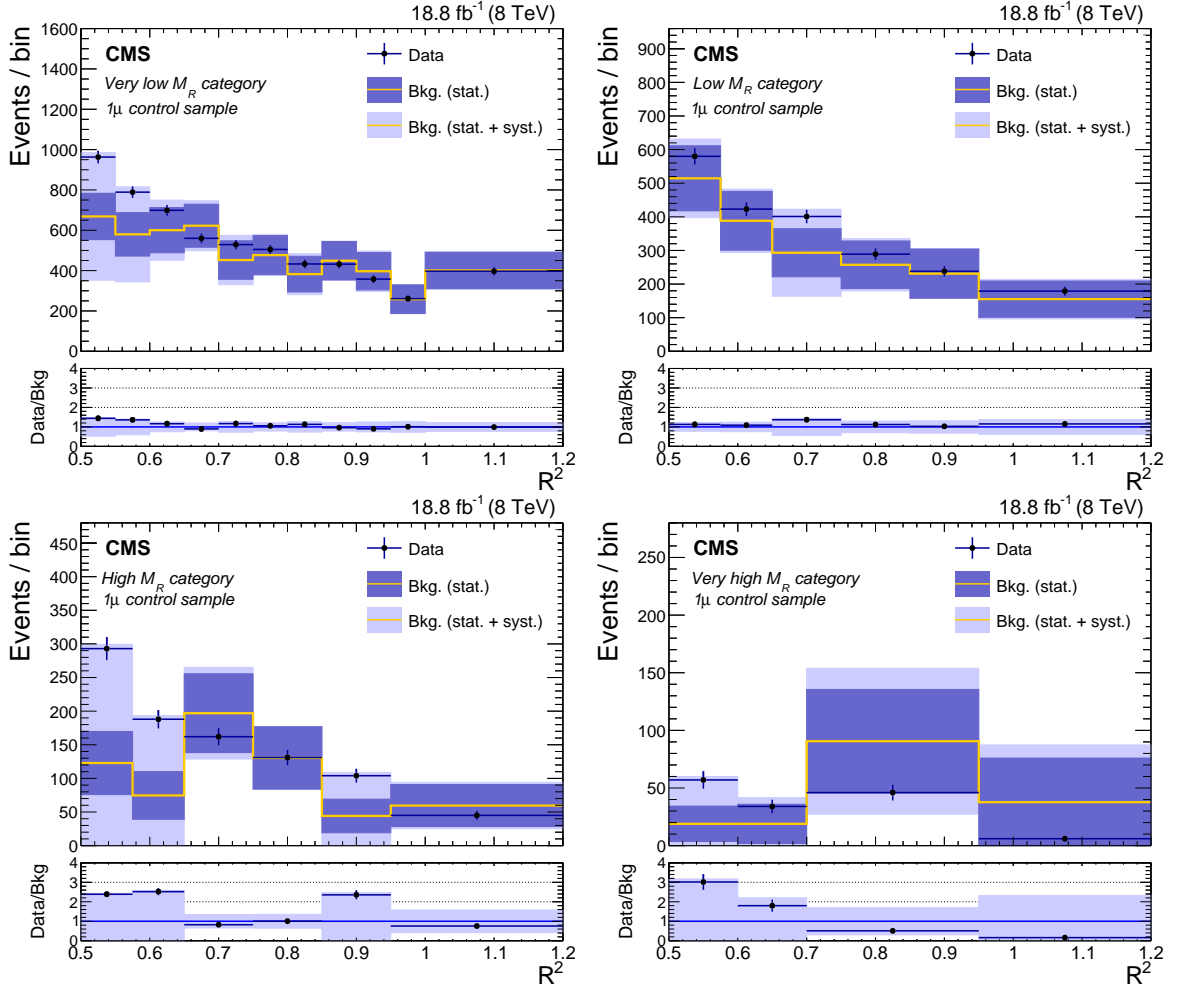


Figure 2: Comparison of observed yields in the  $1\mu$  control region and the data-driven background estimate derived from on the  $2\mu$  control region data in the four  $M_R$  categories: VL (top left), L (top right), H (bottom left), and VH (bottom right). The bottom panel in each plot shows the ratio between the two distributions. The observed bin-by-bin deviation from unity is interpreted as an estimate of the systematic uncertainty associated to the background estimation methodology for the  $0\mu$  search region. The dark and light bands represent the statistical and the total uncertainties in the estimates, respectively. The horizontal bars indicate the variable bin widths.

is propagated as a systematic uncertainty in the data-driven background method, and accounts for the statistical uncertainty in the event yield in the  $2\mu$  control region data as well as potential differences in the modeling of the recoil spectra between  $W$ +jets and  $Z$ +jets processes. Some bins exhibit relatively large uncertainties primarily due to statistical fluctuations in the  $2\mu$  control region from which the background is prediction estimated. Though the uncertainties are rather large in fractional terms, sensitivity to DM signal models is still obtained, because of the enhanced signal to background ratio for the bins at large values of  $R^2$ .

The  $t\bar{t}$  background is estimated using an analogous data-driven method, where we derive corrections to the Monte Carlo simulation prediction scaled to the  $t\bar{t}$  production cross-section computed to NNLO accuracy [52–54] using data in the  $2\mu$  control region for each bin in  $R^2$ . The correction is then applied to the simulation prediction for the  $t\bar{t}$  background contribution to the zero b-tag search region. This correction factor reflects potential mismodeling of the recoil

Table 6: Observed yield and predicted background from simulated samples in the  $2\mu b$  control region. The quoted uncertainty in the prediction only reflects the size of the simulated sample. The contribution of each individual background process is also shown, as estimated from simulated samples.

Sample	$Z(\nu\bar{\nu})+\text{jets}$	$W(\ell\nu)+\text{jets}$	$Z(\ell\ell)+\text{jets}$	$t\bar{t}$	MC predicted	Observed
$2\mu b$	$<0.1$	$0.1 \pm 0.1$	$2.2 \pm 0.3$	$58 \pm 2$	$60 \pm 2$	60

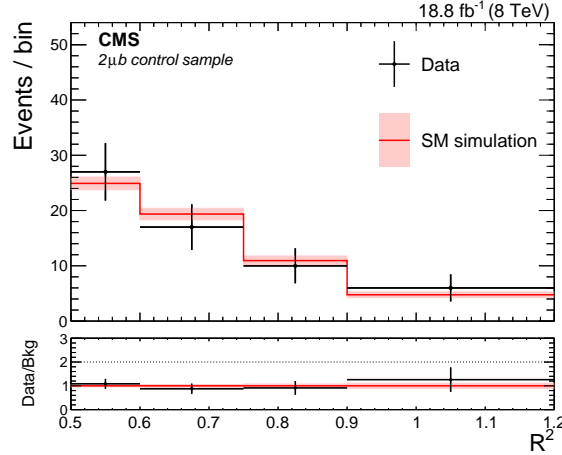


Figure 3: Comparison of the observed yield and the prediction from simulation as a function of  $R^2$  in the  $2\mu b$  control region. The uncertainties in the data and the simulated sample are represented by the vertical bars and the shaded bands, respectively. The horizontal bars indicate the variable bin widths.

spectrum predicted by the Monte Carlo simulation. The contribution of each background process to the  $2\mu b$  sample, predicted from simulated samples, is given in Table 6. The fraction of  $t\bar{t}$  events in the  $2\mu b$  control sample is  $\approx 95\%$ . Figure 3 shows the comparison of the observed yield and the prediction from simulation, as a function of  $R^2$ . We observe no significant deviations between the observed data and the simulation prediction. The uncertainty derived from the data-to-simulation correction factor is propagated to the systematic uncertainty of the  $t\bar{t}$  prediction in the zero b-tag search region.

The result of the background estimation in the zero b-tag search region is given in Table 7, where it is compared to the observed yields in data. The uncertainty in the background estimates takes into account both the statistical and systematic components.

The comparison of the data-driven background estimates and the observations for each  $M_R$

Table 7: Comparison of the observed yields for for the zero b-tag search region in each  $M_R$  category and the corresponding background estimates. The uncertainty in the background estimate takes into account both the statistical and systematic components. The contribution of each individual background process is also shown, as estimated from simulated samples, as well as the total MC predicted yield.

$M_R$ category	$Z(\nu\bar{\nu})+\text{jets}$	$W(\ell\nu)+\text{jets}$	$Z(\ell\ell)+\text{jets}$	$t\bar{t}$	MC predicted	Estimated	Observed
VL	$6231 \pm 37$	$4820 \pm 33$	$49 \pm 2$	$555 \pm 7$	$11655 \pm 50$	$12770 \pm 900$	11623
L	$2416 \pm 19$	$1513 \pm 16$	$11 \pm 1$	$104 \pm 3$	$4044 \pm 25$	$4170 \pm 270$	3785
H	$1127 \pm 7$	$625 \pm 9$	$2.9 \pm 0.3$	$24 \pm 1$	$1779 \pm 12$	$1650 \pm 690$	1559
VH	$229 \pm 2$	$103 \pm 3$	$0.2 \pm 0.1$	$3.1 \pm 0.5$	$335 \pm 3$	$240 \pm 160$	261

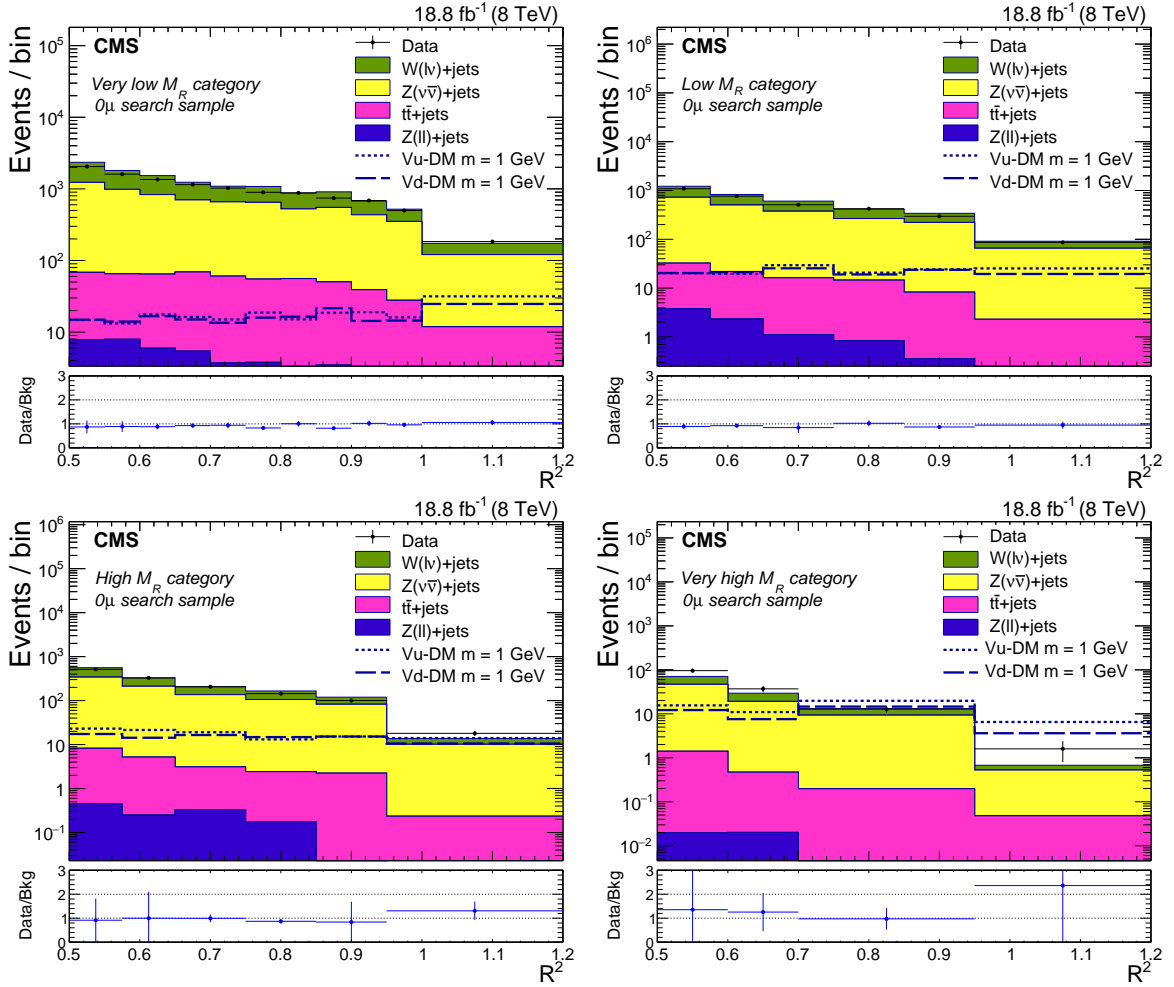


Figure 4: Comparison of the observed yield in the zero b-tag control region and the background estimates in the four  $M_R$  categories: VL (top left), L (top right), H (bottom left), and VH (bottom right). The contribution of individual background processes is shown by the filled histograms. The bottom panels show the ratio between the observed yields and the total background estimate. The systematic uncertainty in the ratio includes the systematic uncertainty in the background estimate. For reference, the distributions from two benchmark signal models are also shown, corresponding to the pair production of DM particles of mass 1 GeV in the EFT approach with vector coupling to u or d quarks. The horizontal bars indicate the variable bin widths.

category is shown in Fig. 4, as a function of  $R^2$ . The expected event distribution is shown for two signal benchmark models, corresponding to the pair production of DM particles of mass 1 GeV in the effective field theory (EFT) approach with vector coupling to u or d quarks. Details on the signal benchmark models are given in Section 8.1.

## 6.2 Background estimation for the $0\mu b$ and $0\mu bb$ samples

A similar data-driven technique is used to determine the expected background for the one and two b-tag search regions. The background from  $t\bar{t}$  events for each  $R^2$  bin in the one b-tag search

Table 8: Comparison of the observed yields in the  $Z(\mu\mu)b$  and  $1\mu b$  samples, the corresponding predictions from background simulation, and (for  $1\mu b$  only) the cross-check background estimate. The contribution of each individual background process is also shown, as estimated from simulated samples.

Sample	$Z(\nu\bar{\nu})+\text{jets}$	$W(\ell\nu)+\text{jets}$	$Z(\ell\ell)+\text{jets}$	$t\bar{t}$	MC predicted	Estimated	Observed
$Z(\mu\mu)b$	$<0.1$	$<0.1$	$134 \pm 3$	$17 \pm 1$	$151 \pm 3$	—	175
$1\mu b$	$0.2 \pm 0.1$	$279 \pm 7$	$11 \pm 1$	$3038 \pm 17$	$3328 \pm 18$	$3410 \pm 540$	2920

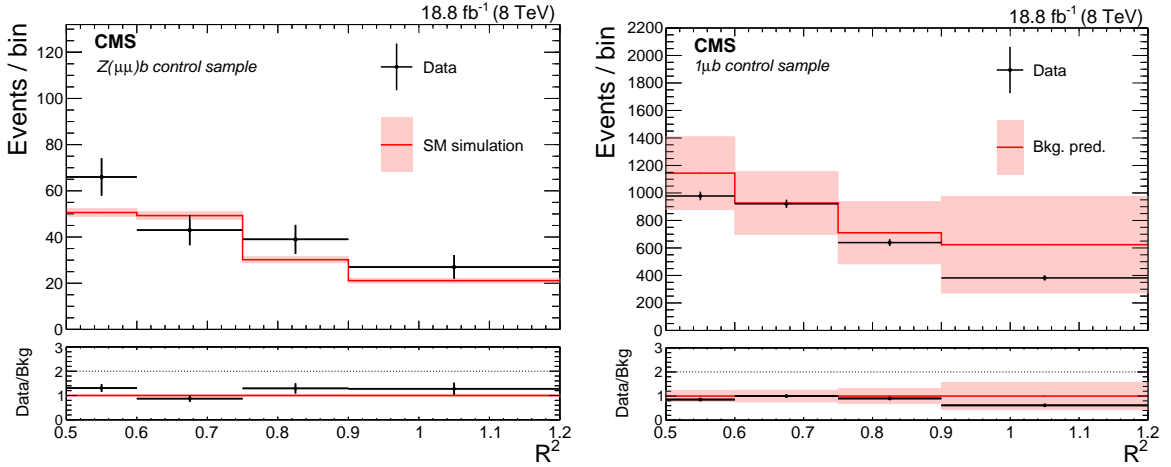


Figure 5: Comparison of the observed yield and the prediction from simulation in the  $Z(\mu\mu)b$  control sample (left) and of the observed yield in the  $1\mu b$  control sample and the background estimates from the  $2\mu b$  and  $Z(\mu\mu)b$  control samples (right), shown as a function of  $R^2$ . The bottom panel of each figure shows the ratio between the data and the estimates. The shaded bands represent the statistical uncertainty in the left plot, and the total uncertainty in the right plot. The horizontal bars indicate the variable bin widths.

region,  $n(\bar{t}\bar{t})_i^{0\mu b}$ , is computed as:

$$n(\bar{t}\bar{t})_i^{0\mu b} = (n(\bar{t}\bar{t})_i^{2\mu b} - N_i^{Z(\ell\ell)+\text{jets},2\mu b} - N_i^{W(\ell\nu)+\text{jets},2\mu b}) \frac{N(\bar{t}\bar{t})_i^{0\mu b}}{N(\bar{t}\bar{t})_i^{2\mu b}} \quad (4)$$

where  $n(\bar{t}\bar{t})_i^{2\mu b}$  is the observed yield in the  $i$ th  $R^2$  bin in the  $2\mu b$  control region, while  $N(\bar{t}\bar{t})_i^{0\mu b}$  and  $N(\bar{t}\bar{t})_i^{2\mu b}$  are the  $t\bar{t}$  yields in the  $i$ th  $R^2$  bin predicted by the simulation for the one b-tag search region and the  $2\mu b$  control region respectively. Similarly, the  $t\bar{t}$  background in the two b-tag search region is derived from Eq. (4), replacing  $N(\bar{t}\bar{t})_i^{0\mu b}$  with  $N(\bar{t}\bar{t})_i^{0\mu b b}$ , the  $t\bar{t}$  background yield in the  $i$ th bin of the two b-tag search region predicted by the simulation. The data yield in the  $2\mu b$  control region is corrected to account for the small contamination from  $Z+\text{jets}$  and  $W+\text{jets}$ , predicted with the simulated yields  $N_i^{Z(\ell\ell)+\text{jets},2\mu b}$  and  $N_i^{W(\ell\nu)+\text{jets},2\mu b}$ , respectively.

The background contribution from  $W(\ell\nu)+\text{jets}$  and  $Z(\nu\bar{\nu})+\text{jets}$  events is predicted using the  $Z(\mu\mu)b$  control region, and summarized in Table 8. The  $Z+\text{jets}$  purity of this control region is  $\approx 89\%$ . The observed yield in the  $Z(\mu\mu)b$  control region is shown in the left plot of Fig. 5, as a function of  $R^2$ , along with the Monte Carlo simulation prediction. The uncertainty on the simulation prediction accounts only for the statistical uncertainty of the simulated sample. This contribution, scaled by the ratio of the predicted  $V+\text{jets}$  background in the search regions to that in the control region, obtained from simulation, provides an estimate for each  $R^2$  bin.

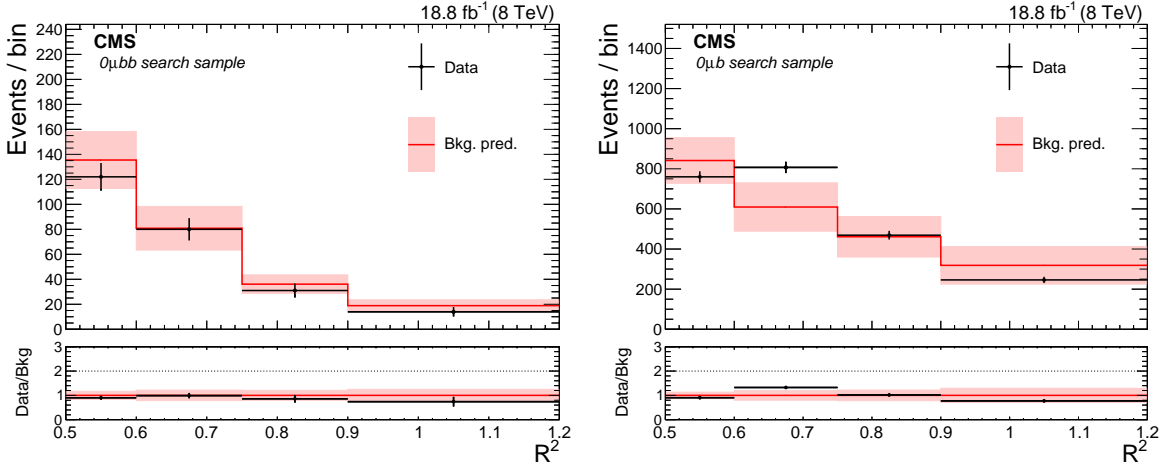


Figure 6: Comparison of observed event yields and background estimates as a function of  $R^2$ , for the one (left) and two (right) b-tag search regions. The shaded bands represent the total uncertainty in the estimate. The horizontal bars indicate the variable bin widths.

Table 9: Comparison of the observed yield for events in the one and two b-tag search regions and the corresponding background estimates. The uncertainty in the estimates takes into account both the statistical and systematic components. The contribution of each individual background process is also shown, as estimated from simulated samples, as well as the total MC predicted yield.

Sample	$Z(\nu\bar{\nu})+\text{jets}$	$W(l\nu)+\text{jets}$	$Z(\ell\ell)+\text{jets}$	$t\bar{t}$	MC predicted	Estimated	Observed
$0\mu b b$	$44 \pm 3$	$14 \pm 2$	$0.2 \pm 0.1$	$204 \pm 4$	$262 \pm 5$	$271 \pm 37$	247
$0\mu b$	$417 \pm 8$	$216 \pm 7$	$2.4 \pm 0.4$	$1480 \pm 12$	$2115 \pm 16$	$2230 \pm 280$	2282

We perform a cross-check of the method on the  $1\mu b$  control region by predicting the background from the  $2\mu b$  control region data. The data and prediction are compared on the right of Fig. 5, where we observe reasonable agreement. The difference between the prediction and the observed data in this cross-check region is propagated as a systematic uncertainty of the method.

The estimated background in the one and two b-tag search regions is given in Table 9 and shown in Fig. 6, where it is compared to the observed yields in data. The uncertainty in the estimates take into account both the statistical and systematic components.

## 7 Systematic uncertainties

For each  $R^2$  bin in each  $M_R$  category, the difference between the observed and estimated yields in the crosscheck analysis (see Section 6) is taken as the estimate of the uncertainty associated with the method, and covers the differences in the modeling of the recoil spectra between  $W+\text{jets}$  and  $Z+\text{jets}$  processes as well as the cross section uncertainties. These uncertainties are found to be typically  $\approx 20\text{--}40\%$ , depending on the considered bin in the  $(M_R, R^2)$  plane, and are the dominant systematic uncertainties for the analysis. As discussed in Section 6.1, a few bins at smaller values of  $R^2$  exhibit larger systematic uncertainties, primarily due to statistical fluctuations in the control region. However the impact on the sensitivity to the dark matter models considered is small as the signal to background ratio is significantly better in other bins at larger values of  $R^2$ .

Table 10: Systematic uncertainties associated with the description of the DM signal. The values indicated represent the typical size. The dependence of these systematic uncertainties on the  $R^2$  and  $M_R$  values is taken into account in the determination of the results.

Effect	Uncertainty
Jet energy scale	3–6%
Luminosity	2.6%
Parton distribution functions	3–6%
Initial-state radiation	8–15%

For the  $0\mu$  analysis, differences between the kinematic properties of  $W$ +jets and  $Z$ +jets events are additional sources of systematic uncertainty. These differences arise from the choice of the PDF set, jet energy scale corrections,  $b$  tagging efficiency corrections, and trigger efficiency. These effects largely cancel when taking the ratio of the two processes, and the resulting uncertainty is found to be smaller than one fifth of the total uncertainty. The quoted uncertainty is an upper estimate of the total systematic uncertainty.

For the  $0\mu b$  and  $0\mu bb$  samples, both the signal and control samples are dominated by  $t\bar{t}$  events. The cancellation of the systematic uncertainties is even stronger in this case, since it does not involve different processes, and different PDFs. The remaining uncertainty is dominated by the contribution arising from the small size of the control sample.

Systematic uncertainties in the signal simulation originate from the choice of the PDF set, the jet energy scale correction, the modeling of the initial-state radiation in the event generator, and the uncertainty in the integrated luminosity. The luminosity uncertainty changes the signal normalization while the other uncertainties also modify the signal shape. These effects are taken into account by propagating these uncertainties into the  $M_R$  category and the  $R^2$  bin. These uncertainties are considered to be fully correlated across  $M_R$  categories and  $R^2$  bins. Typical values for the individual contributions are given in Table 10. The total uncertainty in the signal yield is obtained by propagating the individual effects into the  $M_R$  and  $R^2$  variables and comparing the bin-by-bin variations with respect to the central value of the prediction based on simulation. In the particular case of the uncertainties due to the choice of the PDF set we have followed the PDF4LHC [62–64] prescription, using the CTEQ-6.6[65] and MRST-2006-NNLO [66] PDF sets.

## 8 Results and interpretation

In Figs. 4 and 6 the estimated backgrounds are compared to the observed yield in each  $M_R$  region, for events without and with  $b$ -tagged jets, respectively. The background estimates agree with the observed yields, within the uncertainties. This result is interpreted in terms of exclusion limits for several models of DM production.

### 8.1 Limits on dark matter production from the $0\mu$ sample

The result is interpreted in the context of a low-energy effective field theory, in which the production of DM particles is mediated by six or seven dimension operators [67, 68]. This choice allows the results be compared with those of previous analyses [19, 20], and shows that a similar sensitivity is achieved.

Operators of dimension six and seven are generated assuming the existence of a heavy particle, mediating the interaction between the DM and SM fields. To describe DM production as a local interaction, the propagator of the heavy mediator is expanded through an operator

product expansion. The nature of the mediator determines the nature of the effective interaction. Two benchmark scenarios are considered in this study, axial-vector (AV), and vector (V) interactions [69], described by the following operators:

$$\hat{\mathcal{O}}_{AV} = \frac{1}{\Lambda^2} (\bar{\chi}\gamma^\mu\gamma_5\chi) (\bar{q}\gamma_\mu\gamma_5q) ; \quad \hat{\mathcal{O}}_V = \frac{1}{\Lambda^2} (\bar{\chi}\gamma^\mu\chi) (\bar{q}\gamma_\mu q). \quad (5)$$

Here  $\gamma_\mu$  and  $\gamma_5$  are the Dirac matrices,  $\chi$  is the DM field, and  $q$  is an SM quark field. The DM particle is assumed to be a Dirac fermion where both operators will contribute in the low-energy theory, while in the case of a Majorana DM particle the vector coupling  $\hat{\mathcal{O}}_V$  will vanish in the low-energy theory. Below the cutoff energy scale  $\Lambda$ , DM production is described as a contact interaction between two quarks and two DM particles. In the case of  $s$ -channel production through a heavy mediator, the energy scale  $\Lambda$  is identified with  $M/g_{\text{eff}}$ , where  $M$  is the mediator mass and  $g_{\text{eff}} = \sqrt{g_q g_\chi}$  is an effective coupling, determined by the coupling of the mediator to quark and DM fields,  $g_q$  and  $g_\chi$ , respectively.

The results in Tables 14-17 in the Appendix are used to obtain an upper limit at 90% confidence level (CL) on the DM production cross section,  $\sigma_{\text{UL}}^i$  (where the superscript denotes the coupling to an up or down quark). The limits are obtained using the LHC  $\text{CL}_s$  procedure [70, 71] and a global likelihood determined by combining the likelihoods of the different search categories. Each systematic uncertainty (see Section 7) is incorporated in the likelihood with a dedicated nuisance parameter, whose value is not known a priori but rather must be estimated from the data.

Subsequently, the cross section ( $\sigma_{\text{UL}}^i$ ) limit is translated into a lower limit  $\Lambda_{\text{LL}}$  on the cutoff scale, through the relation:

$$\Lambda_{\text{LL}} = \Lambda_{\text{GEN}} \left( \frac{\sigma_{\text{GEN}}}{\sigma_{\text{UL}}} \right)^{\frac{1}{4}}. \quad (6)$$

Here  $\Lambda_{\text{GEN}}$  and  $\sigma_{\text{GEN}}$  are the cutoff energy scale and cross section of the simulated sample, respectively. The derived values of  $\Lambda_{\text{LL}}$  as a function of the DM mass, shown in Fig. 7, are very similar to those derived for the CMS monojet search [61]. The exclusion limits on  $\Lambda$  weaken at large DM masses since the cross section for DM production is reduced. The analysis has been repeated removing the events also selected by the monojet search. The reduction in background yields due to this additional requirement compensates for the reduction in signal efficiency, resulting in a negligible difference in the exclusion limit on  $\Lambda$ .

The EFT framework provides a benchmark scenario to compare the sensitivity of this analysis with that of previous searches for similar signatures. However, the validity of an EFT approach is limited at the LHC because a fraction of events under study are generated at a  $\sqrt{\hat{s}}$  comparable to the cutoff scale  $\Lambda$  [68, 72–74]. For theories to be perturbative,  $g_{\text{eff}}$  is typically required to be smaller than  $4\pi$ , and this condition is unlikely to be satisfied for the entire region of phase space probed by the collider searches. In addition, the range of values for the couplings being probed within the EFT may be unrealistically large. Following the study presented in Refs. [75–77], we quantify this effect through two EFT validity measures. The first is a minimal kinematic constraint on  $\Lambda$  obtained by requiring  $Q_{\text{tr}} < g_{\text{eff}}\Lambda$  and  $Q_{\text{tr}} > 2M_\chi$ , where  $Q_{\text{tr}}$  is the momentum transferred from the mediator to the DM particle pair, which yields  $\Lambda > 2M_\chi/g_{\text{eff}}$ . The second is more stringent and uses the quantity:

$$R_\Lambda = \frac{\int dR^2 \int dM_R \frac{d^2\sigma}{dR^2 dM_R} \Big|_{Q_{\text{tr}} < g_{\text{eff}}\Lambda}}{\int dR^2 \int dM_R \frac{d^2\sigma}{dR^2 dM_R}}. \quad (7)$$



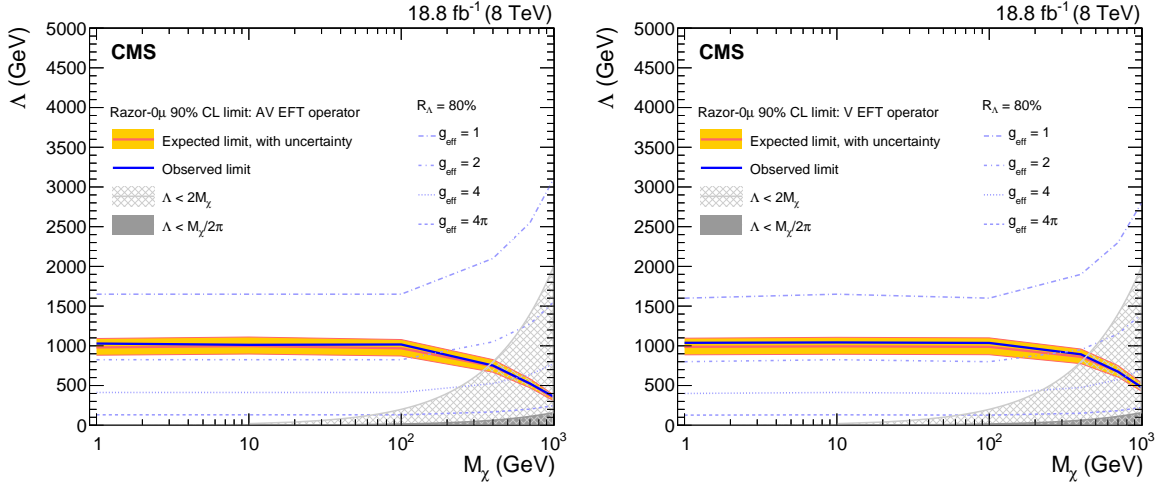


Figure 7: Lower limit at 90% CL on the cutoff scale  $\Lambda$  as a function of the DM mass  $M_\chi$  in the case of axial-vector (left) and vector (right) currents. The validity of the EFT is quantified by  $R_\Lambda = 80\%$  contours, corresponding to different values of the effective coupling  $g_{\text{eff}}$ . For completeness, regions forbidden by the EFT validity condition  $\Lambda > 2M_\chi/g_{\text{eff}}$  are shown for two choices of the effective coupling:  $g_{\text{eff}} = 1$  (light gray) and  $g_{\text{eff}} = 4\pi$  (dark gray).

Values of  $R_\Lambda$  close to unity indicate a regime in which the assumptions of the EFT approximation hold, while a deviation from unity quantifies the fraction of events for which the EFT approximation is still valid. We consider the case of  $s$ -channel production, and we compute  $R_\Lambda$  as a function of the effective coupling  $g_{\text{eff}}$  in the range  $0 < g_{\text{eff}} \leq 4\pi$ . The contours corresponding to  $R_\Lambda = 80\%$  for different values of  $g_{\text{eff}}$  are shown in Fig. 7. For values of  $g_{\text{eff}} \gtrsim 2$ , the limit set by the analysis lies above the  $R_\Lambda = 80\%$  contour.

The exclusion limits on  $\Lambda$  for the axial-vector and vector operators are transformed into upper limits on the spin-dependent ( $\sigma_{N\chi}^{\text{SD}}$ ) [78–84] and spin-independent ( $\sigma_{N\chi}^{\text{SI}}$ ) [80, 81, 85–90] DM-nucleon scattering cross section, respectively; using the following expressions [69]:

$$\sigma_{N\chi}^{\text{SD}} = 0.33 \frac{\mu^2}{\pi \Lambda_{\text{LL}}^4}, \quad (8)$$

$$\sigma_{N\chi}^{\text{SI}} = 9 \frac{\mu^2}{\pi \Lambda_{\text{LL}}^4}, \quad (9)$$

where

$$\mu = \frac{M_\chi M_p}{M_\chi + M_p}, \quad (10)$$

with  $M_p$  and  $M_\chi$  indicating the proton and DM masses, respectively. The numerical values of the derived limits are given in Tables 11 and 12. The bound on  $\sigma_{N\chi}$  as a function of  $M_\chi$  is shown in Fig. 8 for spin-dependent and spin-independent DM-nucleon scattering. A summary of the observed limits for the axial-vector and vector operators can be found in Tables 11 and 12 respectively. It is observed that the spin-independent bounds obtained by direct detection experiments are more stringent than those obtained by the present result for masses above  $\simeq 5$  GeV. Such an effect is expected since the spin-independent DM-nucleus cross section is enhanced by the coherent scattering of DM off nucleons in the case of spin-independent operators. We note that the present result is more sensitive for small DM mass because the recoil energy in direct detection experiments is lower in this region and therefore more difficult to

Table 11: The 90% CL limits on DM production in the case of axial-vector couplings. Here,  $\sigma_{\text{UL}}^u$  and  $\sigma_{\text{UL}}^d$  are the observed upper limits on the production cross section for u and d quarks, respectively;  $\Lambda_{\text{LL}}$  is the observed cutoff energy scale lower limit; and  $\sigma_{N\chi}$  is the observed DM-nucleon scattering cross section upper limit.

$M_\chi$ (GeV)	$\sigma_{\text{UL}}^u$ (pb)	$\sigma_{\text{UL}}^d$ (pb)	$\Lambda_{\text{LL}}$ (GeV)	$\sigma_{N\chi}$ (cm <sup>2</sup> )
1	0.39	0.45	1029	$8.5 \times 10^{-42}$
10	0.43	0.45	1012	$2.9 \times 10^{-41}$
100	0.30	0.37	1017	$3.3 \times 10^{-41}$
400	0.25	0.26	752	$1.1 \times 10^{-40}$
700	0.21	0.26	524	$4.7 \times 10^{-40}$
1000	0.17	0.22	360	$2.1 \times 10^{-39}$

Table 12: The 90% CL limits on DM production in the case of vector couplings. Here,  $\sigma_{\text{UL}}^u$  and  $\sigma_{\text{UL}}^d$  are the observed upper limits on the production cross section for u and d quarks, respectively;  $\Lambda_{\text{LL}}$  is the observed cutoff energy scale lower limit; and  $\sigma_{N\chi}$  is the observed DM-nucleon scattering cross section upper limit.

$M_\chi$ (GeV)	$\sigma_{\text{UL}}^u$ (pb)	$\sigma_{\text{UL}}^d$ (pb)	$\Lambda_{\text{LL}}$ (GeV)	$\sigma_{N\chi}$ (cm <sup>2</sup> )
1	0.41	0.38	1038	$2.3 \times 10^{-40}$
10	0.36	0.45	1043	$6.9 \times 10^{-40}$
100	0.33	0.44	1036	$8.3 \times 10^{-40}$
400	0.23	0.35	893	$1.5 \times 10^{-39}$
700	0.22	0.27	674	$4.7 \times 10^{-39}$
1000	0.22	0.27	477	$1.8 \times 10^{-38}$

detect. In the case of spin-dependent DM-nucleus scattering, the present results are more stringent than those obtained by direct detection experiments because the DM-nucleus cross section does not benefit from the coherent enhancement. A summary of the observed limits for the axial-vector and vector operators can be found in Tables 11 and 12 respectively.

In order to compare our results with those from direct detection experiments, the experimental bounds in [78–81, 85–88] are translated into bounds on  $\Lambda$ . This comparison is shown in Fig. 9. This translation is well defined since the momentum transfer in most direct detection experiments is low compared to the values of  $\Lambda$  being probed, and thus the EFT approximations in question are mostly valid.

## 8.2 Limits on dark matter production from the $0\mu b$ and $0\mu bb$ samples

The results from the  $0\mu b$  and  $0\mu bb$  samples are interpreted in an EFT scenario, following a methodology similar to that of Section 8.1. In this case, a heavy scalar mediator is considered [91], generating an operator:

$$\hat{\mathcal{O}}_S = \frac{M_q}{\Lambda^3} \bar{\chi}\chi \bar{q}q. \quad (11)$$

The dependence on the mass, induced by the scalar nature of the mediator, implies a stronger coupling to third-generation quarks, enhancing the sensitivity of the  $0\mu b$  and  $0\mu bb$  samples to this scenario. Unlike the case of V and AV operators, the production cross section for this process is proportional to  $1/\Lambda^6$ . The value of  $\Lambda_{\text{LL}}$  is then derived as

$$\Lambda_{\text{LL}} = \Lambda_{\text{GEN}} \left( \frac{\sigma_{\text{GEN}}}{\sigma_{\text{UL}}} \right)^{\frac{1}{6}}. \quad (12)$$

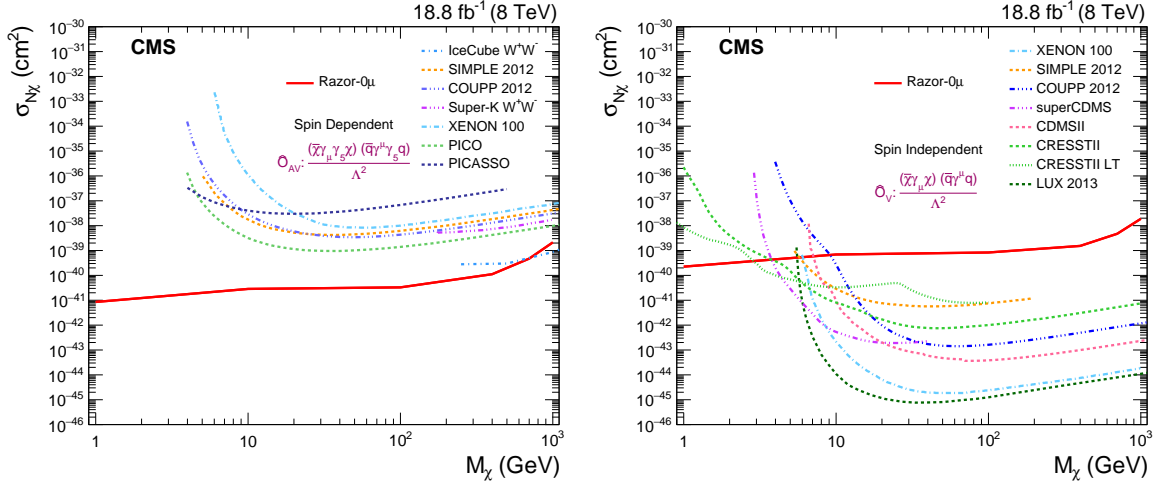


Figure 8: Upper limit at 90% CL on the DM-nucleon scattering cross section  $\sigma_{N\chi}$  as a function of the DM mass  $M_\chi$  in the case of spin-dependent axial-vector (left) and spin-independent vector (right) currents. A selection of representative direct detection experimental bounds are also shown.

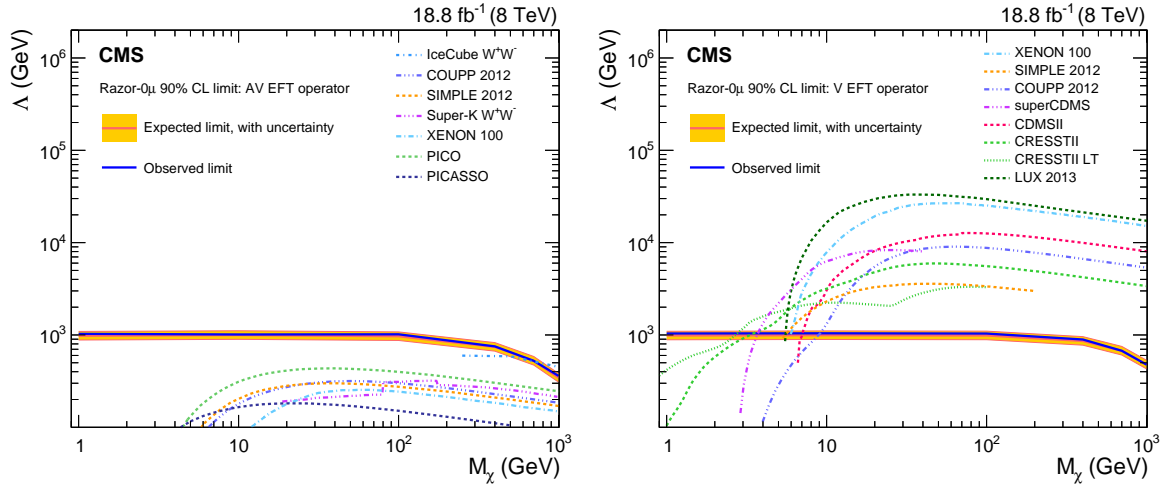


Figure 9: Lower limit at 90% CL on the cutoff scale  $\Lambda$  as a function of the DM mass  $M_\chi$  in the case of axial-vector (left) and vector (right) currents. A selection of direct detection experimental bounds are also shown.

Table 13: The 90% CL limits on DM production in the case of scalar couplings. Here,  $\sigma_{\text{UL}}^{\text{obs}}$  is the observed upper limit on the production cross section,  $\Lambda_{\text{LL}}^{\text{obs}}$  and  $\Lambda_{\text{LL}}^{\text{exp}}$  are the observed and expected cutoff energy scale lower limit, respectively.

$M_\chi$ (GeV)	$\sigma_{\text{UL}}^{\text{obs}}$ (pb)	$\Lambda_{\text{LL}}^{\text{obs}}$ (GeV)	$\Lambda_{\text{LL}}^{\text{exp}}$ (GeV)
0.1	5.4	43.0	48.2
1	3.8	45.3	49.9
10	6.3	43.2	48.4
100	0.8	53.7	55.1
200	0.7	47.2	48.3
300	2.8	32.5	35.8
400	2.8	28.3	30.8
1000	1.7	13.2	13.8

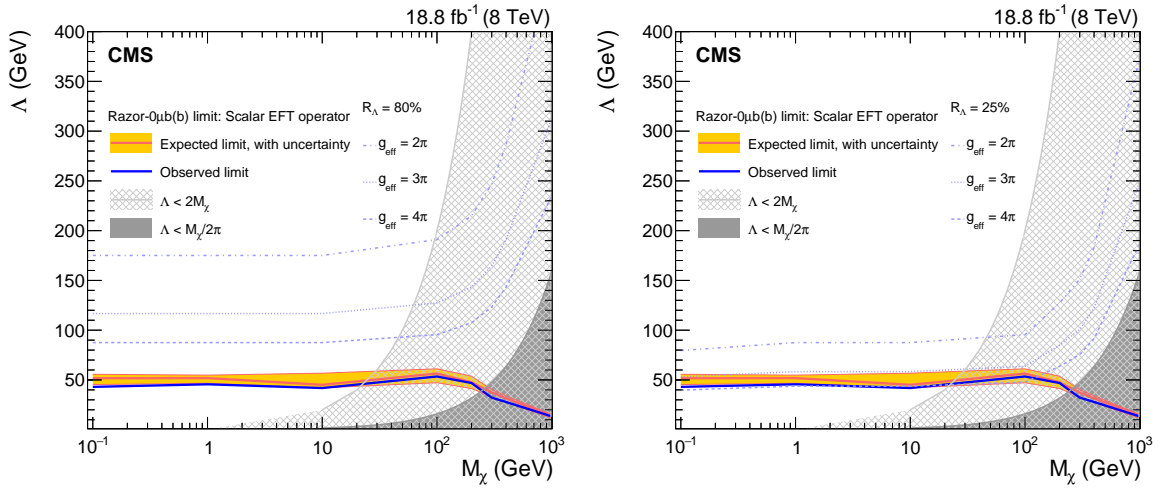


Figure 10: Lower limit at 90% CL on the cutoff scale  $\Lambda$  for the scalar operator  $\hat{\mathcal{O}}_S$  as a function of the DM mass  $M_\chi$ . The validity of the EFT is quantified by  $R_\Lambda = 80\%$  (left) and  $R_\Lambda = 25\%$  (right) contours, corresponding to different values of the effective coupling  $g_{\text{eff}}$ . For completeness, regions forbidden by the EFT validity condition  $\Lambda > 2M_\chi/g_{\text{eff}}$  are shown for two choices of the effective coupling:  $g_{\text{eff}} = 1$  (light gray) and  $g_{\text{eff}} = 4\pi$  (dark gray).

Given the results of Table 9 we proceed to set limits at 90% CL on the cutoff scale (see Table 13) using the LHC  $\text{CL}_s$  procedure. To quantify the validity of the EFT we follow the discussion in Section 8.1, considering an interaction mediated by an  $s$ -channel produced particle. The operator of Eq. (11) is suppressed by an additional factor  $m_b/\Lambda$  with respect to the operators in Eq. (5). As a result, for a given value of the coupling  $g_{\text{eff}}$ , smaller values of  $\Lambda$  are probed in this case. The observed limit stays below the contours derived for  $R_\Lambda = 80\%$ , even when the coupling is fixed to the largest value considered,  $g_{\text{eff}} = 4\pi$ , as shown in the left plot of Fig. 10. For the same choice of coupling, the derived limit on  $\Lambda$  would correspond to  $R_\Lambda \approx 25\%$ , as shown in the right plot of Fig. 10. Only for  $g_{\text{eff}} > 4\pi$  does the observed limit correspond to values of  $R_\Lambda > 80\%$ . This requirement implies a UV completion of the EFT beyond the perturbative regime. For this reason, this result is not interpreted in terms of an exclusion limit on  $\sigma_{N\chi}$ .

## 9 Summary

A search for dark matter has been performed studying proton-proton collisions collected with the CMS detector at the LHC at a center-of-mass energy of 8 TeV. The data correspond to an integrated luminosity of  $18.8 \text{ fb}^{-1}$ , collected with a dedicated high-rate trigger in 2012, made possible by the creation of parked data, and processed during the LHC shutdown in 2013.

Events with at least two jets are analyzed by studying the distribution in the  $(M_R, R^2)$  plane, in an event topology complementary to that of monojet searches. Events with one or two muons are used in conjunction with simulated samples, to predict the expected background from standard model processes, mainly Z+jets and W+jets. The analysis is performed on events both with and without b-tagged jets, originating from the hadronization of a bottom quark, where in the latter case the dominant background comes from  $t\bar{t}$ .

No significant excess is observed. The results are presented as exclusion limits on dark matter production at 90% confidence level for models based on effective operators and for different assumptions on the interaction between the dark matter particles and the colliding partons. Dark matter production at the LHC is excluded for a mediator mass scale  $\Lambda$  below 1 TeV in the case of a vector or axial vector operator. While the sensitivity achieved is similar to those of previously published searches, this analysis complements those results since the use of razor variables provides more inclusive selection criteria and since the exploitation of parked data allows events with small values of  $M_R$  to be included.

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## Appendix

### A Background estimation and observed yield

In this section, we provide the background estimate and the observed yield for each bin of the ( $M_R$ ,  $R^2$ ) plane.

Tables 14-17 show the expected and observed yields in each  $R^2$  bin of each  $M_R$  category for the  $0\mu$  sample. Tables 18 and 19 show the corresponding values for the  $0\mu b$  and the  $0\mu bb$  samples, respectively.

Table 14: Background estimates and observed yield for each  $R^2$  bin in the VL  $M_R$  category.

$R^2$ range	0.5–0.55	0.55–0.6	0.6–0.65	0.65–0.7
Observed	2049	1607	1352	1147
Estimated	$2350 \pm 720$	$1810 \pm 450$	$1530 \pm 180$	$1240 \pm 110$
$R^2$ range	0.7–0.75	0.75–0.8	0.8–0.85	0.85–0.9
Observed	1026	896	880	744
Estimated	$1090 \pm 140$	$1081 \pm 76$	$876 \pm 97$	$909 \pm 63$
$R^2$ range	0.9–0.95	0.95–1.0	1.0–2.5	
Observed	688	499	735	
Estimated	$674 \pm 67$	$521 \pm 43$	$694 \pm 62$	

Table 15: Background estimates and observed yield for each  $R^2$  bin in the L  $M_R$  category.

$R^2$ range	0.5–0.575	0.575–0.65	0.65–0.75
Observed	1088	765	682
Estimated	$1220 \pm 120$	$828 \pm 65$	$810 \pm 210$
$R^2$ range	0.75–0.85	0.85–0.95	0.95–2.5
Observed	565	395	290
Estimated	$551 \pm 59$	$454 \pm 32$	$304 \pm 43$

Table 16: Background estimates and observed yield for each  $R^2$  bin in the H  $M_R$  category.

$R^2$ range	0.5–0.575	0.575–0.65	0.65–0.75
Observed	513	328	279
Estimated	$560 \pm 550$	$330^{+360}_{-330}$	$275 \pm 41$
$R^2$ range	0.75–0.85	0.85–0.95	0.95–2.5
Observed	203	151	85
Estimated	$242 \pm 18$	$171^{+173}_{-171}$	$74 \pm 17$

Table 17: Background estimates and observed yield for each  $R^2$  bin in the VH  $M_R$  category.

$R^2$ range	0.5–0.6	0.6–0.7	0.7–0.95	0.95–2.5
Observed	117	58	75	11
Estimated	$100^{+150}_{-100}$	$59 \pm 36$	$75 \pm 30$	$9 \pm 7$

Table 18: Background estimates and observed yield for each bin in the  $0\mu\text{b}$  signal region.

$R^2$ range	0.5–0.6	0.6–0.75	0.75–0.9	0.9–2.5
Observed	760	807	469	246
Estimated	$850 \pm 170$	$620 \pm 120$	$470 \pm 110$	$320 \pm 160$

Table 19: Background estimates and observed yield for each bin in the  $0\mu\text{bb}$  signal region.

$R^2$ range	0.5–0.6	0.6–0.75	0.75–0.9	0.9–2.5
Observed	122	80	31	14
Estimated	$135 \pm 30$	$81 \pm 18$	$36 \pm 8$	$19 \pm 9$



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